

FORMULA GRANTS

5307 Urbanized Area
5337 State of Good Repair
5339 Buses and Bus Facilities

WORKSHOP
August 13, 2018

5307 UZA Formula Funds

What are 5307 Urbanized Area (UZA) Formula Funds?

The Urbanized Area Formula Funding program makes federal resources available for transit capital and operating assistance in urbanized areas and for transportation-related planning.

These funds constitute a core investment in the enhancement and revitalization of public transportation systems in the nation's urbanized areas, which depend on public transportation to improve mobility and reduce congestion.

5337 SOGR Formula Funds

What are 5337 State of Good Repair (SOGR) Formula Funds?

The State of Good Repair Grants Program provides capital assistance for maintenance, replacement, and rehabilitation projects of high-intensity fixed guideway and bus systems to help transit agencies maintain assets in a state of good repair.

5339 BBF Formula Funds

What are 5339 Buses and Bus Facilities (BBF) Formula Funds?

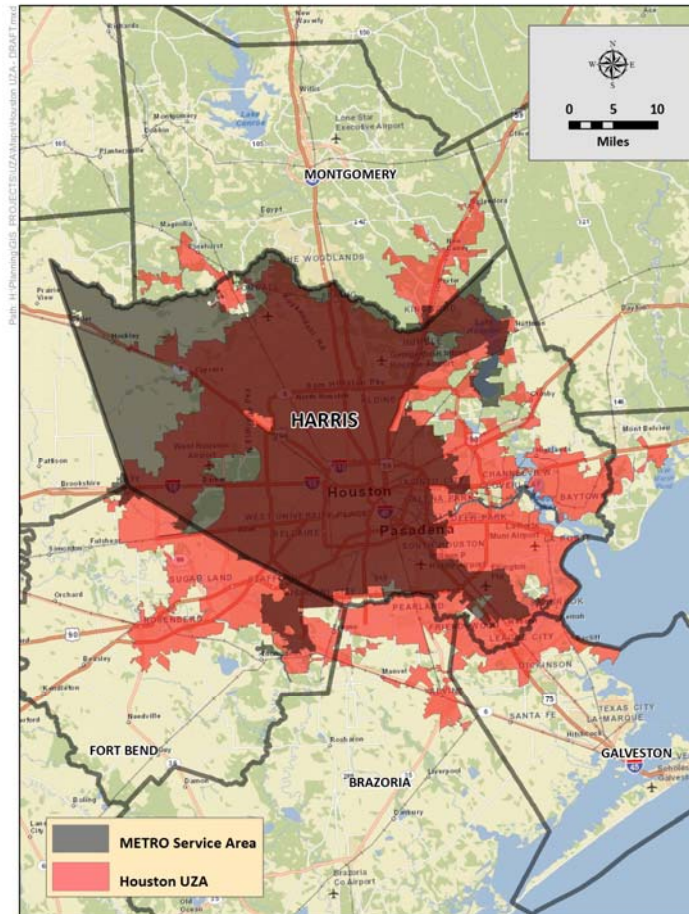
The Bus & Bus Facilities Infrastructure Investment Program makes federal resources available to replace, rehabilitate and purchase buses and related equipment and to construct bus-related facilities including technological changes or innovations to modify low or no emission vehicles or facilities.

Houston UZA Appropriations

2018 Appropriations for the Houston UZA

5307 Urbanized Area	\$80,648,101
5337 State of Good Repair	\$ 6,859,331
5339 Buses and Bus Facilities	\$10,356,269

Houston UZA



Eligible Applicants

Recipients of funds must qualify to be a Direct Recipient of federal funds and be an operator of public transportation. A Direct Recipient is a public entity that is legally eligible under federal transit law to apply for and receive grants directly from the FTA. As a Direct Recipient, recipients of funds will be subject to all federal requirements.

Eligible Projects

Funds not maintaining existing METRO, Fort Bend County, or Harris County services will be considered for allocation to other designated public transit providers not in the METRO service area, but in the Houston UZA, provided that the proposed use of funds benefit the Houston UZA

Applications

There is no prescribed format although application should include, but not limited to, the following items:

- Project description
- Eligibility
- Demonstrated transit benefit to the Houston UZA
- Financial capacity to carry out the project including evidence of local match requirement
- Five year expenditure plan
- Risk Assessment Form

Consultation Process

Consultation with Other Transit Providers

A consultation among representatives from designated public transit operators and the Houston-Galveston Area Council (H-GAC) will be called to evaluate projects. Transit operators will submit projects for evaluation and approval.

Consultation Process Continued

Applications should provide, at a minimum, the following information:

- How does the project establish, preserve or improve mobility of the individuals in the community?
- Does the project address a recognized need in the community? If yes, please include examples such as feedback from public meetings, surveys, complaints, etc.
- Does the applicant report sufficient experience in managing transportation projects to provide assurance of success?
- Does the applicant report sufficient experience in managing previous grant awards?
- Does the applicant report sufficient financial capability and resources to implement and successfully carry out the project?

Consultation Process Continued

- Does the applicant have the sufficient local match to support the implementation for the project? If yes, please list sources of local match.
- Does the applicant have a long-term commitment to maintain and utilize capital equipment/facilities acquired with federal funds beyond the availability of the requested resources?
- Does the project describe an active coordination effort aimed at improving efficiency and effectiveness? If yes, please provide examples.
- Explain how the proposed project addresses gaps and/or barriers that have been identified?
- Is the project a new service?

Consultation Process Continued

- Does the project expand or enhance an existing service?
- Does the project facilitate a state of good repair for existing service?
- Does the proposed project contribute significant ridership as it relates to the service area?
- How would this project be sustained without federal funding?
- Does the project have local support? Evidence includes, but is not limited to:
 - Endorsement letters for organizations or individuals
 - Fare or other monetary contributions received from riders
 - Resolutions by local governing bodies

Critical Dates

August 21

Deadline for all written questions to be submitted to grantapplications@ridemetro.org

August 28

Deadline for all written responses to be published on METRO website

September 14

METRO receives all electronic applications no later than 4:00 PM CST by e-mail submission to grantapplications@ridemetro.org

METRO Website

<https://www.ridemetro.org/Pages/GrantPrograms.aspx>

Grant Programs METRO

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METRO

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- Grant Programs
- Procurement & Materials
- Real Estate
- Small Business

Grant Programs

5307 / 5337 / 5339 Formula Grants

[2018 - 5307, 5337, 5339 Application Schedule \(PDF\)](#)

[Notice of 5307, 5337, 5339 Grant Funds Available for 2018 \(PDF\)](#)

[Final FTA C 5100 \(PDF\)](#)

[Final FTA C 5300 \(PDF\)](#)

[Final FTA C 9030.1E \(PDF\)](#)

[5307 / 5337 / 5339 Houston UZA Grant Process \(PDF\)](#)

[Risk Assessment Form 5307, 5337 and 5339 Grant Programs \(Excel\)](#)

Additional Information

- Information is posted on the METRO website at <https://www.ridemetro.org/Pages/GrantPrograms.aspx>
- Grant Applications submitted to grantapplications@ridemetro.org
- Contact Albert Lyne, Director Grant Programs at 713-739-4697