

METRO

Fiscal Year 2020

Monthly Performance Report

Revenue • Expense • Ridership • Performance

September 2020

(Fiscal Year-End)

This report is based on a preliminary closing of the year-end financials for FY2020



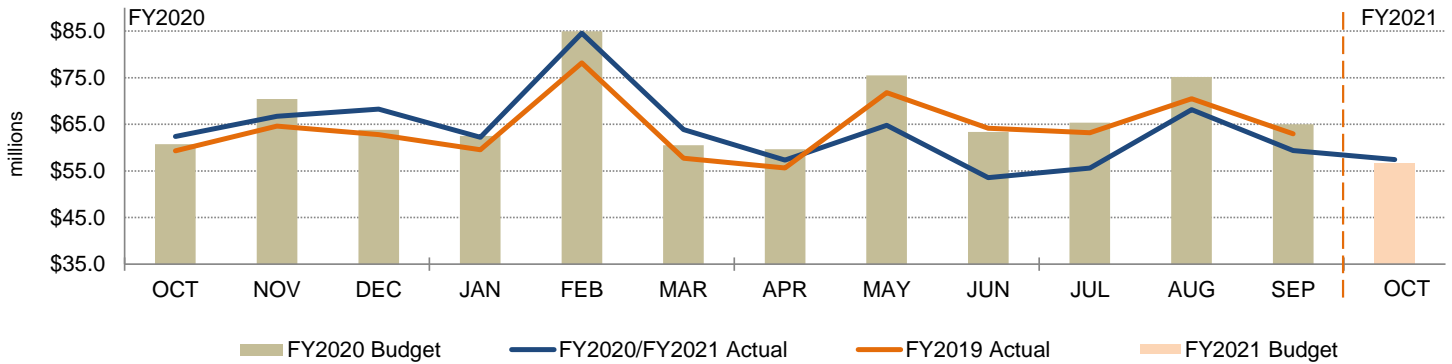
MONTHLY PERFORMANCE REPORT

September 2020

Table of Contents

Section A	Sales Tax Revenue
Section B	Fare Revenue
Section C	Grant and Interest & Miscellaneous Revenue
Section D	Budget and Expense Summary
Section E	Operating Expenses September 2020 Budget vs. Actual FY2020 Budget vs. Actual FY2020 Major Variance Items FY2020 Operating Budget/Expenses by Department
Section F	Capital and Debt Service Expenditures General Mobility Transfers
Section G	Ridership by Service Category
Section H	Performance Statistics Performance Statistics Notes
Section I	Balance Sheet
Section J	Quarterly Budget Change Requests

MONTHLY PERFORMANCE REPORT
October 2020
Sales Tax Revenue



Total FY2020 Sales Tax budget is \$806.8 million

Budget to Actual FY2020
(\$ millions)

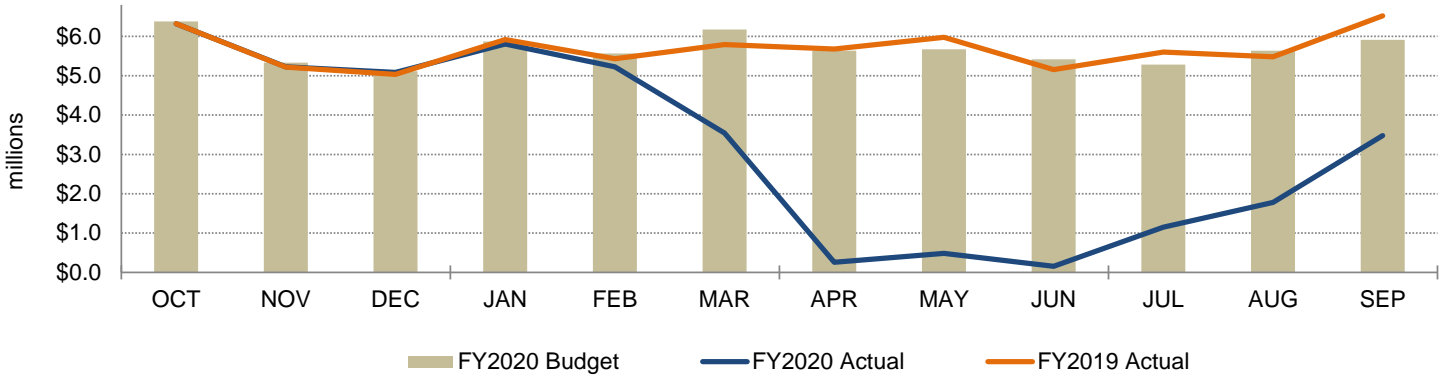
	Budget	Actual	Variance	%
October	60.7	62.4	1.7	2.8%
November	70.4	66.7	(3.7)	(5.2%)
December	63.8	68.2	4.5	7.0%
January	62.5	62.2	(0.3)	(0.5%)
February	84.9	84.5	(0.4)	(0.4%)
March	60.5	63.8	3.3	5.5%
April	59.7	57.3	(2.3)	(3.9%)
May	75.5	64.8	(10.7)	(14.1%)
June	63.3	53.5	(9.8)	(15.5%)
July	65.3	55.6	(9.7)	(14.9%)
August	75.1	68.1	(7.0)	(9.3%)
September	65.0	59.3	(5.6)	(8.7%)
FY2020	\$ 806.8	\$ 766.7	\$ (40.0)	(5.0%)
October 2020 (FY2021)	56.7	57.4	0.7	1.3%

Prior Year vs. Current Year
(\$ millions)

	Prior Year	Current Year	Variance	%
October	59.3	62.4	3.1	5.2%
November	64.6	66.7	2.1	3.3%
December	62.8	68.2	5.5	8.7%
January	59.6	62.2	2.7	4.5%
February	78.2	84.5	6.4	8.2%
March	57.7	63.8	6.1	10.7%
April	55.6	57.3	1.7	3.1%
May	71.8	64.8	(7.0)	(9.7%)
June	64.1	53.5	(10.6)	(16.5%)
July	63.2	55.6	(7.6)	(12.0%)
August	70.5	68.1	(2.4)	(3.4%)
September	62.9	59.3	(3.6)	(5.7%)
FY2020	\$ 770.2	\$ 766.7	\$ (3.5)	(0.5%)
October 2020 (FY2021)	62.4	57.4	(5.0)	(8.0%)

Sales Tax revenue for the month of October 2020 of \$57.4 million is \$0.7 million or 1.3% over estimates.

MONTHLY PERFORMANCE REPORT
September 2020
Fare Revenue



Total FY2020 Fare Revenue budget is \$68.0 million

Budget to Actual FY2020

(\$ millions)

	Budget	Actual	Variance	%
October	6.4	6.3	(0.1)	(1.6%)
November	5.3	5.2	(0.1)	(1.9%)
December	5.1	5.1	(0.0)	0.0%
January	5.9	5.8	(0.1)	(1.7%)
February	5.6	5.2	(0.4)	(7.1%)
March	6.2	3.5	(2.7)	(43.5%)
April	5.6	0.3	(5.3)	(94.6%)
May	5.7	0.5	(5.2)	(91.2%)
June	5.4	0.2	(5.2)	(96.3%)
July	5.3	1.2	(4.1)	(77.4%)
August	5.6	1.8	(3.8)	(67.9%)
September	5.9	3.5	(2.4)	(40.7%)
FY2020	\$ 68.0	\$ 38.5	\$ (29.5)	(43.4%)

Prior Year vs. Current Year

(\$ millions)

	Prior Year	Current Year	Variance	%
October	6.3	6.3	0.0	0.0%
November	5.2	5.2	0.0	0.0%
December	5.0	5.1	0.1	2.0%
January	5.9	5.8	(0.1)	(1.7%)
February	5.4	5.2	(0.2)	(3.7%)
March	5.8	3.5	(2.3)	(39.7%)
April	5.7	0.3	(5.4)	(94.7%)
May	6.0	0.5	(5.5)	(91.7%)
June	5.2	0.2	(5.0)	(96.2%)
July	5.6	1.2	(4.4)	(78.5%)
August	5.5	1.8	(3.7)	(67.3%)
September	6.5	3.5	(3.0)	(46.7%)
FY2020	\$ 68.1	\$ 38.5	\$ (29.6)	(43.5%)

Fare Revenue for the month of September 2020 of \$3.5 million is \$2.4 million or 40.7% under budget.
 Fare Revenue for FY2020 of \$38.5 million is \$29.5 million or 43.4% under budget.

**MONTHLY PERFORMANCE REPORT
September 2020**

**Service Related Grant Revenue
Total FY2020 Service Related Grant budget is \$90.0 million**

	(\$ millions)			
	Budget	Actual	Variance	%
October	2.1	2.1	0.0	(0.0%)
November	0.3	5.7	5.4	1800.0%
December	0.3	4.3	4.0	1333.3%
January	0.3	4.1	3.8	1266.7%
February	0.3	3.9	3.6	1200.0%
March	0.3	0.1	(0.2)	(66.7%)
April	0.3	4.4	4.1	1366.7%
May	0.3	160.7	160.4	53466.7%
June	0.3	46.8	46.5	15500.0%
July	51.3	60.6	9.3	18.1%
August	26.3	0.9	(25.4)	(96.6%)
September	8.3	11.1	2.8	33.7%
FY2020	\$ 90.0	\$ 304.6	\$ 214.6	238.4%

Service Related Grant Revenue for the month of September 2020 of \$11.1 million is \$2.8 million or 33.7% over budget.

Service Related Grant Revenue for FY2020 of \$304.6 million is \$214.6 million or 238.4% over budget.

**Capital Grant Revenue
Total FY2020 Capital Grant budget is \$72.1 million**

	(\$ millions)			
	Budget	Actual	Variance	%
October	2.9	(0.5)	(3.4)	(117.2%)
November	2.9	(0.6)	(3.5)	(120.7%)
December	2.9	2.5	(0.4)	(13.8%)
January	2.9	2.0	(0.9)	(31.0%)
February	2.9	2.3	(0.6)	(20.7%)
March	2.9	-	(2.9)	(100.0%)
April	2.9	5.0	2.1	72.4%
May	2.9	1.1	(1.8)	(62.1%)
June	2.9	4.6	1.7	58.6%
July	2.9	2.0	(0.9)	(31.0%)
August	2.9	16.2	13.3	458.6%
September	39.7	4.4	(35.3)	(88.9%)
FY2020	\$ 72.1	\$ 39.0	\$ (33.1)	(45.9%)

Capital Grant Revenue for FY2020 of \$39.0 million is \$33.1 million under budget.

The Capital Grant budget is allocated equally by month for the first eleven months of the fiscal year, using only the capital grants that are available at the start of the year to reimburse capital expenses. However, as the fiscal year continues, additional grants will be made available as the funds are allocated. The September 2020 budget includes the value of those additional funds.

**MONTHLY PERFORMANCE REPORT
September 2020**

Interest & Miscellaneous Revenue

Total FY2020 Interest & Miscellaneous Revenue budget is \$13.8 million

(\$ millions)

	Budget	Actual	Variance	%
October	1.2	2.3	1.1	91.7%
November	1.1	1.4	0.3	27.3%
December	1.0	1.6	0.6	60.0%
January	1.1	1.4	0.3	27.3%
February	1.1	1.6	0.5	45.5%
March	1.7	1.7	(0.0)	(0.0%)
April	1.1	0.7	(0.4)	(36.4%)
May	1.2	0.5	(0.7)	(58.3%)
June	1.1	0.5	(0.6)	(54.5%)
July	1.0	0.5	(0.5)	(50.0%)
August	1.1	0.4	(0.7)	(63.6%)
September	1.1	0.6	(0.5)	(45.5%)
FY2020	\$ 13.8	\$ 13.1	\$ (0.7)	(5.1%)

Composition of Interest & Miscellaneous Revenue

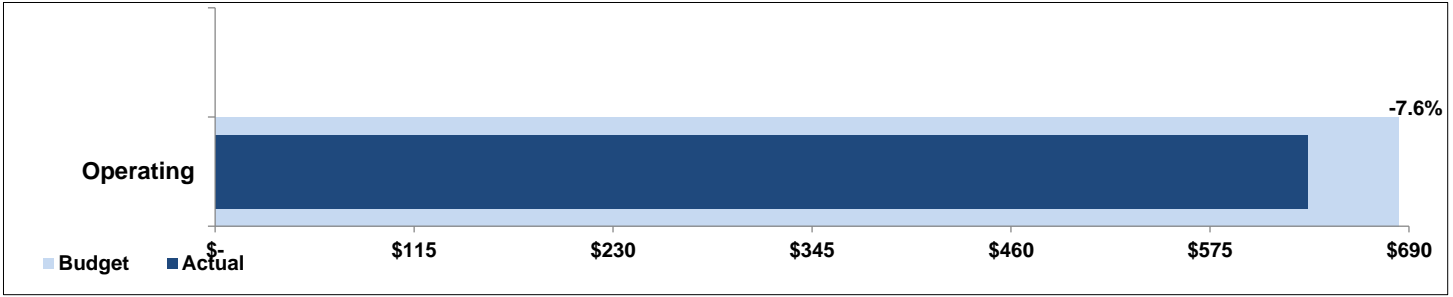
	<u>Year-to-Date Actual</u>		<u>Current Month Actual</u>	
	<u>\$ millions</u>	<u>% of Total</u>	<u>\$ millions</u>	<u>% of Total</u>
Interest Income	6.8	52.3%	0.3	44.4%
HOT Lanes Revenue	3.9	29.9%	0.1	24.7%
Inter Government Revenue	-	0.0%	-	0.0%
Other	2.3	17.8%	0.2	30.9%
Total	\$ 13.1	100.0%	\$ 0.6	100.0%

Interest & Misc. Revenue for FY2020 of \$13.1 million through September 2020 is \$0.7 million or 5.1% under budget.

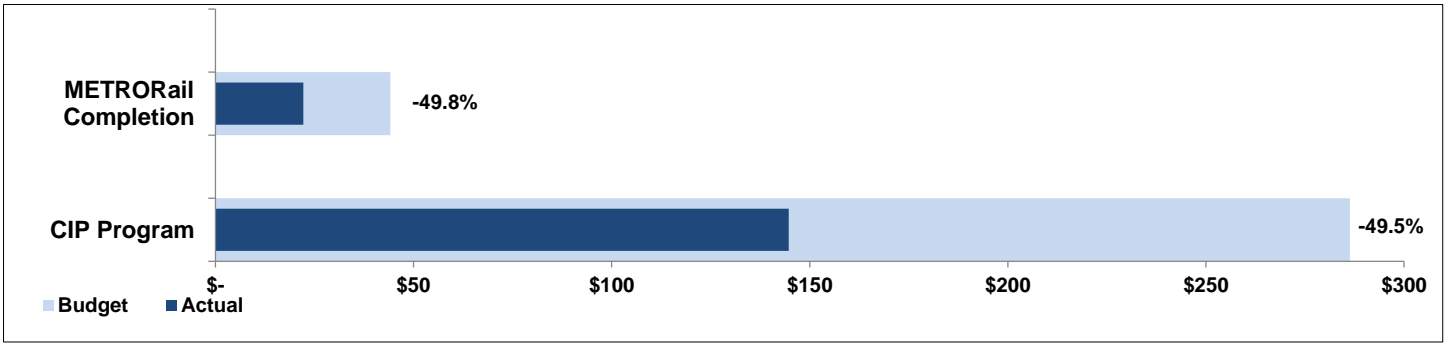
**MONTHLY PERFORMANCE REPORT
September 2020**

**Budget Summary
(\$ millions)**

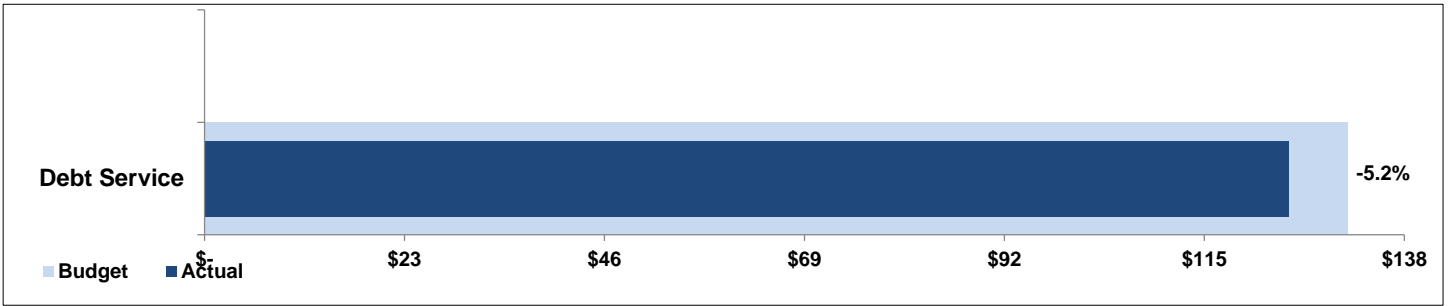
FY2020 Annual Operating Budget \$ 684.0



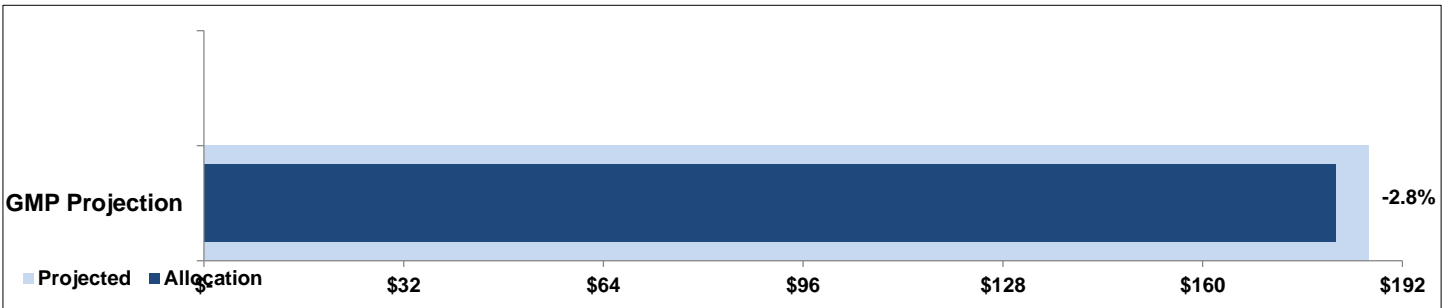
FY2020 Annual Capital Budget \$ 330.5



FY2020 Annual Debt Service Budget \$ 131.5



FY2020 Annual GMP Projected Allocation \$ 186.5



MONTHLY PERFORMANCE REPORT
September 2020
Operating Expenses

Comparison of Budget to Actual for the Month (September 2020)

	FY20 Annual Budget	September Budget	September Actual	\$ Variance (favorable)/unfavorable	% Variance
Labor & Fringe Benefits	\$ 407,094,378	\$ 44,242,201	\$ 32,675,591	\$ (11,566,610)	(26.1%)
Non-Labor	274,405,622	\$ 32,738,832	\$ 24,853,120	(7,885,712)	(24.1%)
Subtotal Labor & Non-Labor	681,500,000	76,981,033	57,528,711	(19,452,322)	(25.3%)
Contingency	2,500,000	2,500,000	-	(2,500,000)	(100.0%)
Total Operating Budget	\$ 684,000,000	\$ 79,481,033	\$ 57,528,711	\$ (21,952,322)	(27.6%)

Comparison of Budget to Actual for FY2020 (12 months)

	FY20 Annual Budget	FY2020 Budget	FY2020 Actual	\$ Variance (favorable)/unfavorable	% Variance
Payroll & Benefits					
Wages	\$ 161,296,028	\$ 161,296,028	\$ 149,627,137	\$ (11,668,892)	(7.2%)
Union Fringe Benefits	87,537,777	87,537,777	84,249,781	(3,287,996)	(3.8%)
Subtotal Union Labor	248,833,805	248,833,805	233,876,918	(14,956,888)	(6.0%)
Salaries and Non-Union Wages	119,479,095	119,479,095	112,554,543	(6,924,553)	(5.8%)
Non-Union Fringe Benefits	50,621,273	50,621,273	49,355,511	(1,265,762)	(2.5%)
Subtotal Non-Union Labor	170,100,368	170,100,368	161,910,053	(8,190,315)	(4.8%)
Allocation to Capital & GMP	(11,839,795)	(11,839,795)	(10,539,282)	1,300,513	(11.0%)
Subtotal Labor and Fringe Benefits	407,094,378	407,094,378	385,247,689	(21,846,689)	(5.4%)
Total Materials & Supplies					
Services	65,109,129	65,109,129	54,273,252	(10,835,876)	(16.6%)
Materials and Supplies	33,100,294	33,100,294	34,950,383	1,850,089	5.6%
Fuel and Utilities	41,268,972	41,268,972	36,531,719	(4,737,252)	(11.5%)
	139,478,394	139,478,394	125,755,355	(13,723,039)	(9.8%)
Administration					
Casualty and Liability	5,827,894	5,827,894	5,525,437	(302,457)	(5.2%)
Purchased Transportation	107,992,292	107,992,292	104,341,598	(3,650,694)	(3.4%)
Leases, Rentals and Misc.	22,148,035	22,148,035	11,827,160	(10,320,875)	(46.6%)
Allocation to Capital & GMP - Non-Labor	(1,040,994)	(1,040,994)	(952,745)	88,249	(8.5%)
	134,927,228	134,927,228	120,741,450	(14,185,778)	(10.5%)
Subtotal Non-Labor	274,405,622	274,405,622	246,496,805	(27,908,817)	(10.2%)
Subtotal Labor and Non-Labor	681,500,000	681,500,000	631,744,494	(49,755,506)	(7.3%)
Contingency	2,500,000	2,500,000	-	(2,500,000)	(100.0%)
Subtotal Contingency	2,500,000	2,500,000	-	(2,500,000)	0.0%
Total Operating Budget	\$ 684,000,000	\$ 684,000,000	\$ 631,744,494	\$ (52,255,506)	(7.6%)
Non-Budgeted Expense					
Gain/ Loss Disposal	-	-	(2,093,208)	(2,093,208)	0.0%
Grand Total	\$ 684,000,000	\$ 684,000,000	\$ 629,651,286	\$ (54,348,714)	(7.9%)

Operating Expenses for the month of September 2020 of \$57.5 million are \$22.0 million or 27.6% under budget.

Operating Expenses for FY2020 of \$631.7 million are \$52.3 million or 7.6% under budget.

**MONTHLY PERFORMANCE REPORT
September 2020**

Major Operating Budget Variance Items - Categories with major variances

	Fiscal Year 2020		
	\$ Variance		
<u>Expense Type</u>	<u>FY2020 Budget</u>	<u>FY2020 Actual</u>	<u>(under budget) / over budget</u>
<u>Payroll & Benefits</u>			
Union Labor	248,833,805	233,876,918	\$ (14,956,888)
Unused Allowance for Expansion of Community Connector Service			(9,570,000)
Union Vacancies - Wages - Bus Operator vacancies			(7,329,000)
Benefit Trust Contribution			(2,684,000)
Union Vacancies - Wages - Bus Maintenance vacancies			(2,051,000)
Union Vacancies - Fringes - Uniform & Tool Allowance			(638,000)
Union Vacancies - Fringes - Pension Union, Defined Benefit			(545,000)
Union Vacancies - Wages - Facilities Maintenance			(464,000)
Union Vacancies - Wages - METRORail			(376,000)
Union Vacancies - Wages - Operations Training Division			(212,000)
Union Vacancies - Fringes - Savings driven by vacancies			(199,000)
Longevity Pay			(119,000)
<u>Offset by</u>			
Overtime in Procurement & Materials			115,000
Pension Union, Defined Contribution			205,000
Union Vacancies - Vacation Buyback			354,000
Overtime in Facilities Maintenance			416,000
Workers Comp			693,000
Overtime in METRORail			1,191,000
Overtime in Bus Maintenance			2,304,000
Overtime in Bus Transportation			3,983,000
Non-Union Labor	170,100,368	161,910,053	\$ (8,190,315)
Savings in base salaries due to vacancies			(7,571,000)
Savings in healthcare due to vacancies			(1,404,000)
Savings in retiree health benefits			(158,000)
<u>Offset by</u>			
Salaried overtime driven by vacancies			951,000
Total Materials & Supplies	139,478,394	125,755,355	\$ (13,723,039)
Services			
<u>Communications</u> - due to underruns in Advertising (-\$6.6 million), Support Services (-\$195,000) and Contract Management (-\$101,000)			(6,862,000)
<u>Legal</u> - due to underruns in Legal Fees (-\$1.1 million) and Support Services (-\$171,000)			(1,257,000)
<u>Capital & Environmental Planning</u> - due to underrun in Contract Management			(959,000)
<u>Finance</u> - due to underruns in Contract Management (-\$410,000), Support and Other Services (-\$318,000) and Equipment Repairs & Maintenance (-\$142,000) and an overrun in Physical/Medical Exam (+102,000)			(757,000)
<u>Engineering & Capital Project</u> - due to an underrun in Contract Management			(725,000)
<u>Information Technology</u> - due to underrun in Contract Management (-\$365,000) and Equipment Repairs & Maintenance (-\$150,000)			(515,000)
<u>Safety</u> - due to underrun in Contract Management			(506,000)
<u>Human Resources</u> - due to underrun in Education & Training (-\$152,000) and Contract Employment Services (-\$132,000)			(284,000)
<u>Urban Design</u> - due to underrun in Contract Management			(209,000)
<u>Client & Vanpool Ridership Services</u> - due to underrun in Contract Management			(160,000)
<u>Facilities Maintenance</u> - due to underruns in Custodial Services (-\$129,000), Building & Grounds Maintenance (-\$105,000), Equipment, Repairs & Maintenance (-\$103,000), and an overrun in Temp Help (+\$217,000)			(121,000)
<u>Audit</u> - due to underrun in Support Services			(106,000)
<u>Procurement</u> - due to underrun in Contract Management			(104,000)
<u>EVP PE&C</u> - due to overruns in Custodial Services (+\$653,000) and BOF Maintenance Costs (+\$437,000)			1,090,000
<u>Operations</u> - due to underrun in Education & Training (-\$457,000) and an overruns in Support and Other Services (+\$877,000), Contract Management (+\$835,000) and Contacted Vehicle Repairs (+\$138,000)			1,394,000
<u>General spending in other areas Authority wide not mentioned above</u>			
Underspending in Education and Training throughout the Authority			(328,000)
Underspending in Support & Other Services throughout the Authority			(154,000)
Underspending in Equipment Repairs & Maintenance throughout the Authority			(135,000)
Underspending in Advertising throughout the Authority			(130,000)
Overspending in Legal Fees throughout the Authority			134,000

Continued on Next Page

MONTHLY PERFORMANCE REPORT
September 2020
Major Operating Budget Variance Items - Categories with major variances

<u>Expense Type</u>	<u>FY2020 Budget</u>	<u>FY2020 Actual</u>	<u>Fiscal Year 2020 \$ Variance (under budget) / over budget</u>
Materials and Supplies			
<u>Underruns in -</u>			
Material prices variances on Production/refurbished orders and inventory revaluations and disposals			(4,498,000)
Tires & Tubes			(739,000)
Postage			(354,000)
Tech Equipment			(242,000)
Other Supplies			(211,000)
Warranty Credits			(150,000)
Interior Body & Floor			(105,000)
<u>Offset by miscellaneous overruns in -</u>			
Chassis			102,000
Supplies - EDP			272,000
Cleaning Materials			322,000
Bus Batteries			333,000
Maintenance Supplies			557,000
Transmission			637,000
Parts			1,567,000
Minor Tools			1,977,000
Bus Engines - mostly in Unit Overhaul			2,392,000
Fuel and Utilities			
<u>Underruns in -</u>			
Diesel Fuel and related taxes			(2,396,000)
Gasoline			(1,677,000)
Compressed Natural Gas			(757,000)
Power			(218,000)
<u>Offset by miscellaneous overruns in -</u>			
Telephone			250,000
<u>Administration</u>	134,927,228	120,741,450	\$ (14,185,778)
Casualty & Liability			
Higher than expected subrogation			(134,000)
Lower than expected vehicle liability			(104,000)
Purchased Transportation			
Regional Vanpool			(3,035,000)
METROLift			(966,000)
Northwest Contract			373,000
Leases, Rentals, & Miscellaneous			
Other Miscellaneous Expenses			(7,872,000)
Lower than expected Information Technology Rent Software Payments			(803,000)
Discretionary Items			(725,000)

MONTHLY PERFORMANCE REPORT
September 2020
Total Net Operating Budget / Expenses by Department

<u>Authorized</u> <u>EOY</u> <u>Headcount</u>	<u>Department</u>	<u>Annual Budget</u>	----- Fiscal Year 2020 -----			<u>--Current Month--</u>
			<u>Budget</u>	<u>Expense</u>	<u>Variance</u>	<u>Variance</u>
3,838	Oper, Public Safety, & Cust Service	499,360,736	499,360,736	487,509,474	(11,851,262)	(894,854)
	9 EVP Oper Pub Safety & Cust Service	1,330,270	1,330,270	1,213,413	(116,858)	(62,841)
3,282	Operations	447,296,736	447,296,736	441,607,495	(5,689,241)	(798,553)
427	METRO Police	34,604,200	34,604,200	30,328,557	(4,275,643)	(231,563)
46	Safety	10,789,859	10,789,859	9,906,035	(883,824)	192,012
74	Customer Service	5,339,671	5,339,671	4,453,974	(885,697)	6,092
255	Administration	62,845,143	62,845,143	59,982,242	(2,862,901)	440,970
	2 EVP, Administration	500,161	500,161	465,789	(34,372)	3,595
	74 IT	24,344,981	24,344,981	24,216,375	(128,606)	218,384
	54 Human Resources	24,735,309	24,735,309	22,600,051	(2,135,258)	77,521
	120 Procurement & Materials	12,382,466	12,382,466	11,905,279	(477,187)	147,782
	5 State of Good Repair	882,226	882,226	794,748	(87,478)	(6,312)
231	Planning, Engineer, & Construction	44,070,670	44,070,670	42,737,795	(1,332,875)	1,924,019
	2 EVP PE&C	130,278	130,278	1,522,755	1,392,477	530,813
	185 Facilities Maint	37,420,404	37,420,404	37,179,629	(240,775)	1,493,936
	17 Capital & Environmental Planning	4,665,831	4,665,831	3,527,484	(1,138,347)	69,106
	19 Engineering & Capital Project	1,589,392	1,589,392	501,896	(1,087,495)	(167,325)
	8 Construction	264,765	264,765	6,031	(258,734)	(2,511)
80	Finance	11,471,656	11,471,656	10,715,170	(756,486)	193,685
	4 CFO	964,214	964,214	1,118,772	154,557	281,129
	76 Finance	10,507,442	10,507,442	9,596,398	(911,043)	(87,444)
22	Govt & Public Affairs	16,198,786	16,198,786	11,932,509	(4,266,277)	(713,968)
	2 Deputy CEO	565,981	565,981	553,094	(12,886)	11,535
	10 Public Engagement	1,694,016	1,694,016	1,579,635	(114,382)	43,971
	6 Client & Vanpool Ridership Services	12,506,176	12,506,176	8,691,284	(3,814,892)	(712,858)
	3 Gov't Affairs	919,981	919,981	948,489	28,508	14,981
	1 Urban Design	512,632	512,632	160,007	(352,625)	(71,597)
19	Legal	4,821,476	4,821,476	3,526,987	(1,294,490)	153,760
40	Communications	18,755,831	18,755,831	10,748,501	(8,007,330)	(1,963,997)
	3 EVP, Communications	497,538	497,538	499,463	1,925	(932)
	7 Press Office	745,816	745,816	688,841	(56,976)	(1,530)
	29 Marketing & Corporate Communication	17,040,089	17,040,089	9,126,040	(7,914,049)	(1,996,343)
	1 Partnership Promotions	472,388	472,388	434,158	(38,230)	34,808
9	Executive and Board	2,347,600	2,347,600	2,170,455	(177,145)	15,792
11	Audit	1,546,869	1,546,869	1,131,871	(414,998)	18,091
5	Office of Innovation	1,396,229	1,396,229	1,209,520	(186,709)	(42,362)
	Non Departmental	18,685,004	18,685,004	79,780	(18,605,224)	(18,583,656)
	President & CEO Contingency	2,500,000	2,500,000	-	(2,500,000)	(2,500,000)
	Other (MTA Revenue/Expense)	-	-	191	191	199
4,510	Total Operating Budget	684,000,000	684,000,000	631,744,494	(52,255,506)	(21,952,322)

MONTHLY PERFORMANCE REPORT
September 2020
Total Net Operating Budget / Expenses by Department
Fiscal Year 2020 vs. Fiscal Year 2019

<u>Department</u>	<u>Fiscal Year 2020</u>			<u>Fiscal Year 2019</u>		
	<u>Budget</u>	<u>Expense</u>	<u>Variance</u>	<u>Budget</u>	<u>Expense</u>	<u>Variance</u>
Operations, Public Safety and Customer Service	499,360,736	487,509,474	(11,851,262)	466,212,015	463,687,450	(2,524,564)
EVP Oper Pub Safety & Cust Serv	1,330,270	1,213,413	(116,858)	1,237,660	1,206,656	(31,004)
Operations	447,296,736	441,607,495	(5,689,241)	422,895,331	424,993,719	2,098,388
METRO Police	34,604,200	30,328,557	(4,275,643)	27,076,644	24,442,230	(2,634,413)
Safety	10,789,859	9,906,035	(883,824)	9,912,445	9,078,476	(833,969)
Customer Service	5,339,671	4,453,974	(885,697)	5,089,934	3,966,368	(1,123,567)
Administration	62,845,143	59,982,242	(2,862,901)	59,099,421	54,748,604	(4,350,817)
EVP, Administration	500,161	465,789	(34,372)	463,827	439,062	(24,766)
IT	24,344,981	24,216,375	(128,606)	23,873,068	21,688,535	(2,184,533)
Human Resources	24,735,309	22,600,051	(2,135,258)	22,426,271	21,256,775	(1,169,496)
Procurement & Materials	12,382,466	11,905,279	(477,187)	11,597,764	10,773,356	(824,408)
State of Good Repair	882,226	794,748	(87,478)	738,492	590,877	(147,615)
Planning, Engineering and Construction	44,070,670	42,737,795	(1,332,875)	39,993,909	36,856,794	(3,137,114)
EVP PE&C	130,278	1,522,755	1,392,477	83,257	(4,408)	(87,664)
Facilities Maint	37,420,404	37,179,629	(240,775)	33,270,202	31,187,041	(2,083,161)
Capital & Environmental Planning	4,665,831	3,527,484	(1,138,347)	5,583,729	5,304,057	(279,672)
Engineering & Capital Project	1,589,392	501,896	(1,087,495)	875,067	504,932	(370,135)
Construction	264,765	6,031	(258,734)	181,654	(134,828)	(316,482)
Finance	11,471,656	10,715,170	(756,486)	11,115,716	9,817,962	(1,297,753)
CFO	964,214	1,118,772	154,557	982,561	536,848	(445,713)
Finance	10,507,442	9,596,398	(911,043)	10,133,155	9,281,114	(852,041)
Gov't & Public Affairs	16,198,786	11,932,509	(4,266,277)	16,020,145	14,492,001	(1,528,144)
Deputy CEO	565,981	553,094	(12,886)	506,579	596,239	89,660
Public Engagement	1,694,016	1,579,635	(114,382)	1,655,214	1,488,147	(167,067)
Client & Vanpool Ridership Services	12,506,176	8,691,284	(3,814,892)	12,327,267	11,124,357	(1,202,910)
Gov't Affairs	919,981	948,489	28,508	1,339,785	1,152,618	(187,166)
Urban Design	512,632	160,007	(352,625)	191,301	130,640	(60,661)
Legal	4,821,476	3,526,987	(1,294,490)	4,641,159	5,191,619	550,460
Communications	18,755,831	10,748,501	(8,007,330)	21,239,458	16,470,868	(4,768,590)
EVP, Communications	497,538	499,463	1,925	454,937	441,230	(13,707)
Press Office	745,816	688,841	(56,976)	724,985	645,440	(79,545)
Marketing & Corporate Communication	17,040,089	9,126,040	(7,914,049)	19,632,322	14,972,233	(4,660,090)
Partnership Promotions	472,388	434,158	(38,230)	427,214	411,965	(15,249)
Executive & Board	2,347,600	2,170,455	(177,145)	2,171,299	1,851,598	(319,701)
Audit	1,546,869	1,131,871	(414,998)	1,535,794	1,246,030	(289,764)
Office of Innovation	1,396,229	1,209,520	(186,709)	1,020,364	717,780	(302,583)
Non-Departmental	18,685,004	79,780	(18,605,224)	1,316,698	-	(1,316,698)
Contingency	2,500,000	-	(2,500,000)	1,844,025	-	(1,844,025)
Other MTA Revenue / Expense	-	191	191	-	(1,427,156)	(1,427,156)
TOTAL OPERATING BUDGET	\$ 684,000,000	\$ 631,744,494	\$ (52,255,506)	\$ 626,210,000	\$ 603,653,551	\$ (22,556,449)

MONTHLY PERFORMANCE REPORT
September 2020
Capital, and Debt Service Expenses
Budget vs. Actual - Month and Fiscal Year-to-Date
(\$ millions)

Capital Budget

	FY2020		<u>Month of September 2020</u>				<u>Fiscal Year 2020</u>			
	Annual Budget	Budget	Budget	Actual	Variance		Budget	Actual	Variance	
	\$	\$	\$	\$	\$	%	\$	\$	\$	%
METRO Rail Completion	\$ 44.2	\$ 3.5	\$ 1.7	\$ (1.8)	(51.4%)		\$ 44.2	\$ 22.2	\$ (22.0)	(49.8%)
Capital Improvement Program	\$ 286.3	\$ 57.6	\$ 37.3	\$ (20.3)	(35.2%)		\$ 286.3	\$ 144.7	\$ (141.6)	(49.5%)
Total Capital	\$ 330.5	\$ 61.1	\$ 38.9	\$ (22.2)	(36.3%)		\$ 330.5	\$ 166.9	\$ (163.6)	(49.5%)

METRO Rail Completion expenses for FY2020 of \$22.2 million are \$22.0 million or 49.8% under budget.

Other Capital Improvement Program expenses for FY2020 of \$144.7 million are \$141.6 million or 49.5% under budget.

Debt Service Budget

	FY2020		<u>Month of September 2020</u>				<u>Fiscal Year 2020</u>			
	Annual Budget	Budget	Budget	Actual	Variance		Budget	Actual	Variance	
	\$	\$	\$	\$	\$	%	\$	\$	\$	%
Debt Service	\$ 131.5	\$ 9.3	\$ 8.7	\$ (0.6)	(6.5%)		\$ 131.5	\$ 124.7	\$ (6.8)	(5.2%)

Debt Service expenses for FY2020 of \$124.7 million are \$6.8 million under budget.

General Mobility Transfers

General Mobility Program Projections
Projected Funding vs. Actual Allocation - Month and Fiscal Year-to-Date
(\$ millions)

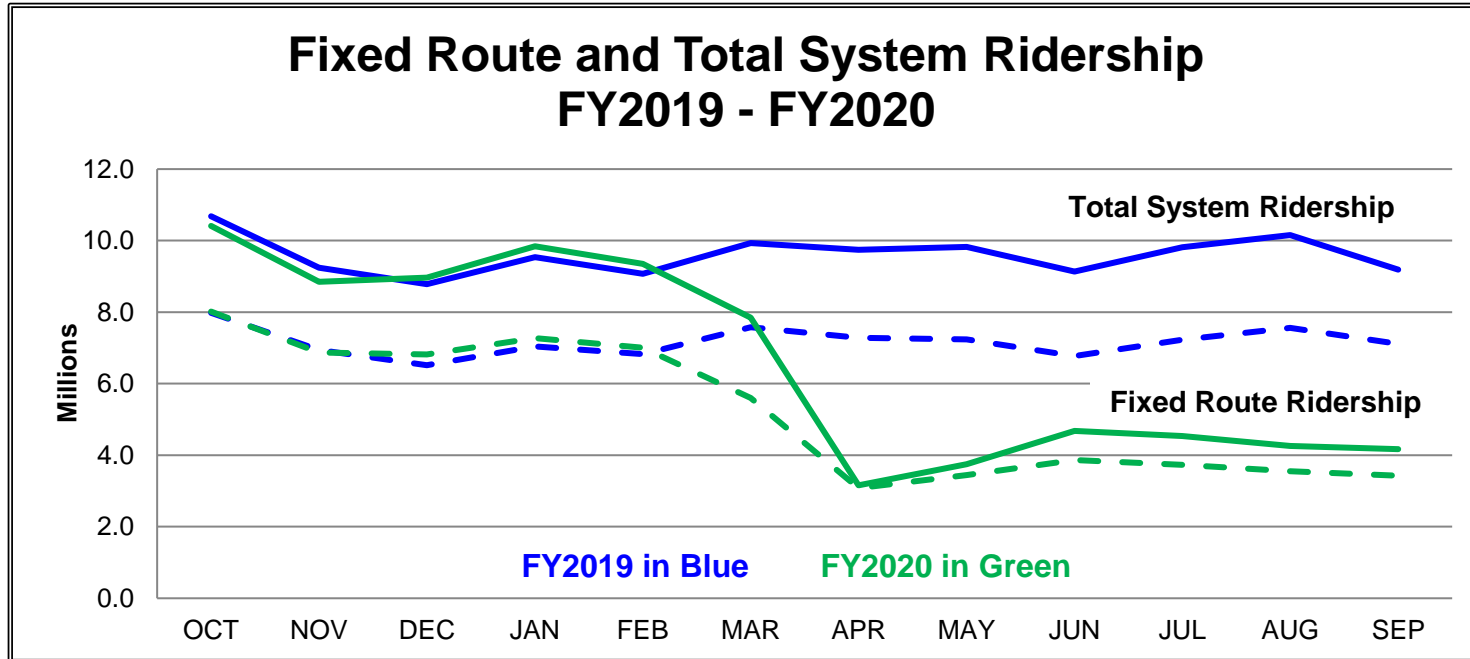
	FY2020		<u>Month of September 2020</u>				<u>Fiscal Year 2020</u>			
	Annual Projection	Projection	Allocation	Allocation	Variance		Projection	Allocation	Variance	
	\$	\$	\$	\$	\$	%	\$	\$	\$	%
General Mobility	\$ 186.5	\$ 15.2	\$ 14.4	\$ (0.8)	(5.3%)		\$ 186.5	\$ 181.3	\$ (5.2)	(2.8%)

Funds allocated to the General Mobility Fund totaling \$181.3 million for FY2020 are \$5.2 million or 2.8% less than the amount projected.

MONTHLY PERFORMANCE REPORT
September 2020
Ridership by Service Category

Service Category	Sep-19 Boardings	Sep-20 Boardings	Sep-20 vs. Sep-19	FY2019 Boardings	FY2020 Boardings	% Change FY2020 vs. FY2019
Fixed Route Services						
Local Network						
Local Bus	4,925,719	2,638,637	(46.4%)	59,442,898	45,531,531	(23.4%)
METRORail						
Red (North) Line	1,202,115	560,909	(53.3%)	15,127,002	10,468,633	(30.8%)
Green (East) Line	134,907	71,564	(47.0%)	1,572,329	1,100,851	(30.0%)
Purple (Southeast) Line	180,214	59,618	(66.9%)	1,857,260	1,318,622	(29.0%)
METRORail (all lines)	1,517,236	692,091	(54.4%)	18,556,591	12,888,106	(30.5%)
METRORail-Bus Bridge	1,077	8,447	0.0%	40,323	112,430	178.8%
METRORail total	1,518,313	700,538	(53.9%)	18,596,914	13,000,536	(30.1%)
Subtotal Local Network	6,444,032	3,339,175	(48.2%)	78,039,812	58,532,067	(25.0%)
Commuter						
Park & Ride	665,382	84,425	(87.3%)	7,827,848	4,071,164	(48.0%)
Subtotal Fixed Route Service	7,109,414	3,423,600	(51.8%)	85,867,660	62,603,231	(27.1%)
Special Events	671	3,029	351.4%	219,229	85,925	(60.8%)
Total Fixed Route	7,110,085	3,426,629	(51.8%)	86,086,889	62,689,156	(27.2%)
Customized Bus Services						
METROLift	167,458	93,565	(44.1%)	2,087,305	1,543,938	(26.0%)
METRO STAR Vanpool	143,458	21,179	(85.2%)	1,809,528	903,541	(50.1%)
Internal Service	0	0	0.0%	570	112	(80.4%)
Subtotal Customized Bus	310,916	114,744	(63.1%)	3,897,403	2,447,591	(37.2%)
HOV/HOT Carpools, Vanpools, and Non-METRO Buses	1,770,140	625,590	(64.7%)	25,132,988	14,687,543	(41.6%)
Total System	9,191,141	4,166,963	(54.7%)	115,117,280	79,824,290	(30.7%)

MONTHLY PERFORMANCE REPORT
September 2020
Ridership by Service Category



Fixed Route ridership is reported on the same basis as in the National Transit Database

The Special Events category of ridership reflects customer service oriented short-term additional motor bus service provided for events at Reliant Park such as football games and RODEO Houston.

Total fixed route ridership, excluding special events, for the month of September 2020 of 3.4 million is 3.7 million or 51.8% less than last year.

Total fixed route ridership, excluding special events, for FY2020 of 62.6 million is 23.3 million or 27.1% less than last year.

METRORail ridership for the month of September 2020 of 0.7 million is 53.9% less than last year.

METRORail ridership for FY2020 of 13.0 million is 30.1% less than last year.

MONTHLY PERFORMANCE REPORT
September 2020
Performance Statistics

Benchmark Met
Benchmark Missed

Fiscal Year 2020

SAFETY & SECURITY	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Current Month Target	FY2020 Actual	FY2020 GOAL
Bus Accidents (Includes METROLift)	45	48	71	46	59	50	26	29	50	41	40	32	≤ 49	537	≤ 594
Bus Accidents per 100,000 vehicle miles	0.68	0.79	1.12	0.71	0.98	0.88	0.70	0.68	1.05	0.81	0.82	0.68	≤ 1.11	0.83	≤ 1.11
Rail Accidents	10	7	4	6	8	5	2	3	7	3	6	4	≤ 8	65	≤ 99
Rail Accidents per 100,000 vehicle miles	3.33	2.62	1.40	2.02	2.85	1.66	0.94	1.12	2.32	0.94	1.91	1.46	≤ 2.75	1.90	≤ 2.75
Group A Offenses	93	113	129	116	107	93	90	150	93	110	101	119		1314	
Major Security Incidents per 100,000 boardings	0.893	1.277	1.439	1.178	1.144	1.185	2.850	4.001	1.986	2.425	2.369	2.856		1.646	
Group B Offenses	11	41	37	30	35	31	15	25	21	12	24	20		302	
Major Security Incidents per 100,000 boardings	0.106	0.463	0.413	0.305	0.374	0.395	0.475	0.667	0.449	0.264	0.563	0.480		0.378	
Group A & B Offenses - METRO properties	61	97	117	100	89	76	73	121	82	93	86	92		1087	
Major Security Incidents per 100,000 boardings	0.586	1.096	1.305	1.016	0.952	0.968	2.311	3.228	1.751	2.050	2.017	2.208		1.362	
CUSTOMER SERVICE	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Current Month Target	FY2020 Actual	FY2020 GOAL
Complaint Contacts per 100,000 Boardings	18.85	17.60	15.94	18.23	18.05	17.64	21.40	21.63	20.89	22.11	20.97	21.38	< 18.50	18.88	< 18.50
Commendations	294	308	294	279	288	184	70	96	139	120	146	195	≥ 300	2,413	≥ 3600
Average Call Center Answer Delay (Sec.)	98	55	65	57	70	75	15	11	9	9	10	8	< 105	40	< 105

Safety & Security

- The number of bus accidents met the safety goal for both the month and the fiscal year.
- The number of rail accidents met the safety goal for both the month and the fiscal year.

Customer Service

- Complaint contacts per 100,000 boardings did not meet the goal for both the month and the fiscal year.
- The number of commendations did not meet the goal for both the month and the fiscal year.
- The average call center answer delay met the goal for both the month and the fiscal year.

MONTHLY PERFORMANCE REPORT
September 2020
Performance Statistics

Benchmark Met

Benchmark Missed

Fiscal Year 2020														Current Month Target	FY2020 Actual	FY2020 GOAL	
SERVICE & RELIABILITY	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP					
On-Time Performance																	
Bus - Local	75.3%	74.3%	74.2%	75.5%	78.3%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	≥	75%	75.5%	≥ 75%
Bus - Park & Ride	76.2%	76.1%	75.5%	77.4%	80.8%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	≥	76%	77.2%	≥ 76%
Bus - Weighted Average	75.7%	75.0%	74.7%	76.2%	79.3%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	≥	75%	76.3%	≥ 75%
METROLift	89.0%	90.5%	90.8%	91.6%	89.6%	93.2%	97.0%	95.7%	96.1%	96.6%	96.0%	95.6%	≥	90%	93.5%	≥ 90%	
Rail																	
Rail - Red Line	93.6%	95.2%	94.6%	93.5%	93.3%	N/A	N/A	N/A	92.5%	93.3%	93.5%	93.4%	≥	93%	93.7%	≥ 93.0%	
Rail - South East Purple Line	95.7%	95.4%	95.5%	95.4%	96.1%	N/A	N/A	N/A	97.4%	95.9%	96.1%	97.0%	≥	95%	95.9%	≥ 95.0%	
Rail - East End Green Line	98.7%	97.3%	97.1%	96.1%	97.4%	N/A	N/A	N/A	95.3%	95.8%	97.5%	98.5%	≥	95%	97.0%	≥ 95.0%	
MDBF (Mean Distance Between Mechanical Failures) - All Buses																	
MDBF (Mean Distance Between Mechanical Failures) - All Buses	9,049	10,415	9,751	11,030	9,368	10,184	10,545	8,357	7,627	6,108	7,256	6,587	≥	7,750	8,604	≥ 8,875	
MDBF (Mean Distance Between Mechanical Failures) - METROLift																	
MDBF (Mean Distance Between Mechanical Failures) - METROLift	25,407	21,577	22,926	24,228	25,969	24,078	22,947	26,721	26,898	26,161	27,463	30,563	≥	20,000	24,861	≥ 20,000	
MDBSI (Mean Distance Between Service Interruptions) - METRORail																	
MDBSI (Mean Distance Between Service Interruptions) - METRORail	15,032	16,687	17,918	14,871	14,058	13,069	12,467	11,633	27,472	26,484	16,491	17,138	≥	15,000	16,059	≥ 15,000	
Average Peak HOT Lanes Speed (miles per hour)																	
I-45 North HOV	52	53	56	53	54	60	N/A	65	64	65	65	63	≥	45	59	≥ 45	
I-45 South HOV	52	53	56	53	54	58	N/A	62	62	62	62	61	≥	45	58	≥ 45	
US-290 HOV	57	60	62	60	59	66	N/A	68	66	66	66	67	≥	45	63	≥ 45	
US-59 North HOV	57	59	61	59	59	64	N/A	69	68	68	68	67	≥	45	64	≥ 45	
US-59 South HOV	48	49	51	48	48	54	N/A	61	60	60	61	59	≥	45	54	≥ 45	

Bus On-Time Performance

- Note: Due to service adjustments made early March in response to COVID-19, there will be no report of Bus on-time-performance until regular service is restored.
- On-time performance for METROLift met the minimum performance standard for both the month and the fiscal year.

METRORail On-Time Performance

- Rail (Red Line) met the benchmark for both the month and the fiscal year.
- Rail (Purple Line) met the benchmark for both the month and the fiscal year.
- Rail (Green Line) met the benchmark for both the month and the fiscal year.

Service Reliability

- The Mean Distance Between Mechanical Failures (MDBF) for All Buses did not meet the minimum performance standard for both the month and the fiscal year.
- The Mean Distance Between Mechanical Failures (MDBF) for METROLift met the minimum performance standard for both the month and the fiscal year.
- The Mean Distance Between Service Interruptions (MDBSI) for METRORail met the minimum performance standard for both the month and the fiscal year.

HOT Lane Average Speed

- The average peak speed for all HOT Lanes met the monthly and fiscal year goals.

MONTHLY PERFORMANCE REPORT
September 2020
Performance Statistic Definitions

Bus and Rail Accidents - An accident is a transit incident with passenger injuries that require immediate medical treatment away from the scene or a collision between a revenue vehicle and an object such that the amount of damage exceeds \$1,000. Bus accidents (which include METROLift) and rail accidents are reported separately and in terms of the absolute number of accidents and the relative number of accidents per 100,000 vehicle miles. Rail accidents reflect collisions between METRORail and other vehicles, pedestrians, or bicyclists. This definition has been revised beginning in FY2011 to include pedestrian accidents.

Group A Offenses - The Group A Offenses are based on the National Incident-Based Report System (NIBRS). Group A offenses consist of twenty-four (24) offense categories which address fifty-two (52) specific crimes. Group A offenses are more serious in nature and tend to be against persons or property and included: animal cruelty, arson, assault offenses, bribery, burglary/breaking & entering, counterfeiting/forgery, destruction/damage/vandalism of property, drug/narcotic offenses, embezzlement, extortion/blackmail, fraud offenses, gambling offenses, homicide offenses, human trafficking, kidnapping/ abduction, larceny/theft offenses, motor vehicle theft, pornography /obscene material, prostitution offenses, robbery, run aways/persons under 18, sex offenses, stolen property offenses and weapon law violations. This metric is reported both in terms of the absolute number of incidents and the number of incidents per 100,000 boardings.

Group B Offenses - The Group B Offenses are based on the National Incident-Based Report System (NIBRS). Group B consists of ten (10) offense categories. Group B encompass all, non-traffic, offenses which are not classified as Group A. Group B offenses are less serious in nature and tend to be against society and include: bad checks, curfew/loitering/vagrancy violations, disorderly conduct, driving under the influence, drunkenness, family offenses, nonviolent, liquor law violations, peeping tom, trespass of real property and all other offenses.

Group A & B Offenses - METRO Properties - The total Group A & B Offenses - METRO Properties is the number of incidents that occur on METRO property: Park & Ride, Transit Centers, On-board Buses and On-board Light Rail Vehicles (LRVs) and on LRV Platforms. This metric is reported both in terms of the absolute number of incidents and the number of incidents per 100,000 boardings.

Complaint Contacts - Patrons may contact METRO's Customer Care Center to express dissatisfaction with METRO Operations. Contacts made via telephone and over the internet which result in a complaint record being generated in the Public Comment System are reported as the number of contacts per 100,000 boardings.

Commendations - Patrons may contact METRO's Customer Care Center to recognize, compliment or praise a METRO employee or the METRO organization for exemplary work or performance. Contacts made via telephone, internet, email or mail which result in a commendation record being generated in the Public Comment System are reported as the absolute number of contacts received.

Average Call Center Answer Delay - METRO is committed to providing customers with accurate, customer-friendly bus and service information in a timely manner. Customers may obtain bus information over METRO's website and by telephone using an interactive voice response system without speaking to a representative and with no customer wait time. For those customers who prefer to speak with a representative, METRO's goal is to answer their calls in 105 seconds or less.

On-Time Performance (OTP) - A local bus is considered on-time if it does not leave early and is within a five (5) minute window after the scheduled departure time. A Park & Ride bus is considered on-time if it does not depart early (except in the morning when a bus can leave from a Park & Ride lot when full) and is within a five (5) minute window after the scheduled departure time, with measurements during peak hours. OTP is measured by the IVOMS system which calculates data to the second, and the five (5) minute window is defined as anything less than six (6) minutes. For METRORail, a train departing from the beginning of the line or arriving at the end of the line less than five (5) minutes after the scheduled time is considered on-time. For METROLift, a trip is considered on-time if the vehicle arrives within 30 minutes of the scheduled pick-up time and no later than the appointment time.

Mean Distance Between Mechanical Failures (MDBF) - MDBF reflects any mechanical issue encountered during operation of the vehicle in revenue service that requires a maintenance action resulting from a mechanical failure. Mechanical failures include warranty and fleet defects but exclude accidents.

Mean Distance Between Service Interruptions (MDBSI) - Measures total revenue service miles traveled by Light Rail Vehicles (LRVs) between service interruptions that delay LRVs for one minute or more due to mechanical failures.

Average Peak HOT Lane Speed - HOT Lane speed is based on an average of measurements conducted during the AM and PM high-volume commuter periods. METRO's 45 mph benchmark is based on Federal statute 23 USC 166: HOV facilities, which sets 45 mph as a minimal acceptable rate of speed.

MONTHLY PERFORMANCE REPORT
September 2020
Balance Sheet

	September 30, 2019 (\$)	September 30, 2020 (\$)	Change (\$)
Assets			
Cash	(8,339,115)	(1,097,161)	7,241,954
Receivables	141,022,487	125,254,972	(15,767,514)
Inventory	35,928,117	41,349,372	5,421,255
Investments	510,295,712	619,277,526	108,981,815
Other Assets	5,641,114	6,048,165	407,050
Land & Improvements	367,042,301	360,310,571	(6,731,730)
Capital Assets, Net of Depreciation	2,422,884,722	2,423,481,557	596,834
Total Assets	3,474,475,338	3,574,625,002	100,149,664
Deferred Outflow of Resources ¹	116,130,375	104,564,462 ²	(11,565,913)
	3,590,605,713	3,679,189,464	88,583,752
Liabilities			
Trade Payables	70,091,266	68,826,246	(1,265,021)
Accrued Payroll	27,209,703	33,626,795	6,417,092
Debt Payable	1,313,641,217	1,242,399,981	(71,241,236)
Debt Interest Payable	19,356,209	17,449,094	(1,907,115)
Pension and OPEB Liabilities	841,093,721	852,841,152	11,747,431
Other Liabilities	134,010,711	118,524,191	(15,486,520)
Total Liabilities	2,405,402,828	2,333,667,460	(71,735,368)
Net Assets - Retained Earnings	1,185,202,885	1,345,522,004	160,319,119
Total Liabilities and Net Assets	3,590,605,713	3,679,189,464	88,583,752

Notes:

- 1 A deferred outflow of resources is defined by the Governmental Accountability Standards Board as "a consumption of net assets by the government that is applicable to a future reporting period," and a deferred inflow of resources is defined as "an acquisition of net assets by the government that is applicable to a future reporting period."
- 2 The deferred outflow for FY2020 includes [1] Mark-to-Market (MTM) values of outstanding diesel fuel SWAP agreements at the fiscal year end (\$908,128), [2] Non Union Pension Plan (\$32,426,362), [3] Union Pension Plan (\$51,041,671), [4] Bonds (\$8,637,643), [5] Non Union OPEB (\$2,189,277) and [6] Union OPEB (\$9,361,382). These items will be recognized as expenses in future periods to which they relate.

MONTHLY PERFORMANCE REPORT
Budget Change Request Report
Operating Budget - \$684.0 million
Fourth Quarter - Fiscal Year 2020

Date	Type	Description	Amount
July	Technical/ Administrative	Reallocation of Human Resources funds to cover increased physical/medical exam expenses	21,249
August	Budget	Reallocation of Office of Innovation funds to cover expenses resulting from new business climate	5,000
August	Technical/ Administrative	Reallocation of Facilities Maintenance funds cover extra charges for the access control area	20,000
Fourth Quarter Total			\$ 46,249

Notes:
 Tech/Admin changes are changes within the original scope of the budgets and do not represent a change in the Authority's work plan or priorities.

MONTHLY PERFORMANCE REPORT
Budget Change Request Report
Capital Budget - \$330.5 million
Fourth Quarter - Fiscal Year 2020

Date	Type	Description	Amount
July	Budget	Transfer from Axle Replacement VP.442Z08 to project Specialty Vehicle VN.443014	20,000
July	Budget	CBR #6, reallocate funds from PEC to IT for KERMIT Replacement project	660,000
July	Budget	CBR #6 reallocate funds from PEC to Printer Services for new printer and associated equipment	245,000
August	Budget	Inter department transfer from Bus Make Ready to Shop Garage and Equipment	12,000
August	Budget	Inter department transfer from Hybrid Batteries to Specialty Vehicles	12,000
Fourth Quarter Total			\$ 949,000

Notes:
 Tech/Admin changes are changes within the original scope of the budgets and do not represent a change in the Authority's work plan or priorities.