

**CONFLICT OF INTEREST QUESTIONNAIRE**

**FORM CIQ**

For vendor or other person doing business with local governmental entity

This questionnaire reflects changes made to the law by H.B. 1491, 80th Leg., Regular Session.

This questionnaire is being filed in accordance with Chapter 176, Local Government Code by a person who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the person meets requirements under Section 176.006(a).

By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person knowingly violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

**OFFICE USE ONLY**

Date Received

*Legal*  
*9/26/13*

**1** Name of person who has a business relationship with local governmental entity.

AECOM Technical Services, Inc.

**2**  Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

**3** Name of local government officer with whom filer has employment or business relationship.

Lisa Gonzales Castañeda, Secretary, METRO Board of Directors

Name of Officer

This section (item 3 including subparts A, B, C & D) must be completed for each officer with whom the filer has an employment or other business relationship as defined by Section 176.001(1-a), Local Government Code. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income, other than investment income, from the filer of the questionnaire?

Yes  No

B. Is the filer of the questionnaire receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer named in this section AND the taxable income is not received from the local governmental entity?

Yes  No

C. Is the filer of this questionnaire employed by a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

Yes  No

D. Describe each employment or business relationship with the local government officer named in this section.

Lisa Castañeda is the sister of Brent Patterson, a Project Director with AECOM. In addition to her duties at METRO, Ms. Castañeda serves as Deputy Director of the Harris County Toll Road Authority. AECOM currently has at least one active contract with HCTRA. While AECOM does not believe these facts constitute a conflict of interest, they are presented here in the interest of full disclosure.

**4**

*Stephen Berckenhoff*

Signature of person doing business with the governmental entity

September 1, 2013

Date

**LOCAL GOVERNMENT OFFICER  
CONFLICTS DISCLOSURE STATEMENT**

**FORM CIS**

(Instructions for completing and filing this form are provided on the next page.)

This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.

This is the notice to the appropriate local governmental entity that the following local government officer has become aware of facts that require the officer to file this statement in accordance with Chapter 176, Local Government Code.

**OFFICE USE ONLY**

Date Received

**RECEIVED**

**SEP 28 2017**

Legal Dept.  
**METRO** Transit Authority

**1 Name of Local Government Officer**

Lisa Castañeda

**2 Office Held**

Metropolitan Transit Authority of Harris County, TX Board of Directors

**3 Name of vendor described by Sections 176.001(7) and 176.003(a), Local Government Code**

AECOM

**4 Description of the nature and extent of each employment or other business relationship and each family relationship with vendor named in item 3.**

My brother, Brent Patterson, is a Project Manager with AECOM. While I do not believe these facts constitute a conflict of interest, they are presented in the interest of full disclosure.

**5 List gifts accepted by the local government officer and any family member, if aggregate value of the gifts accepted from vendor named in item 3 exceeds \$100 during the 12-month period described by Section 176.003(a)(2)(B).**

Date Gift Accepted \_\_\_\_\_ Description of Gift \_\_\_\_\_

Date Gift Accepted \_\_\_\_\_ Description of Gift \_\_\_\_\_

Date Gift Accepted \_\_\_\_\_ Description of Gift \_\_\_\_\_

(attach additional forms as necessary)

**6 AFFIDAVIT**

I swear under penalty of perjury that the above statement is true and correct. I acknowledge that the disclosure applies to each family member (as defined by Section 176.001(2), Local Government Code) of this local government officer. I also acknowledge that this statement covers the 12-month period described by Section 176.003(a)(2)(B), Local Government Code.

*Lisa Castañeda*  
Signature of Local Government Officer

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said Lisa Castañeda, this the 28th day of September, 20 17, to certify which, witness my hand and seal of office.

*Toinette M. Wright*  
Signature of officer administering oath

Toinette M. Wright  
Printed name of officer administering oath

Notary  
Title of officer administering oath

# LOCAL GOVERNMENT OFFICER CONFLICTS DISCLOSURE STATEMENT

FORM CIS

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This is the notice to the appropriate local governmental entity that the following local government officer has become aware of facts that require the officer to file this statement in accordance with Chapter 176, Local Government Code.

**OFFICE USE ONLY**

Date Received

**RECEIVED**

**MAR 29 2018**

Legal Dept.  
METRO Transit Authority

1 Name of Local Government Officer

SANJAY RAMABHADRAN

2 Office Held

BOARD MEMBER - METROPOLITAN TRANSIT AUTHORITY OF  
HARRIS COUNTY

3 Name of vendor described by Sections 176.001(7) and 176.003(a), Local Government Code

APTIM (NOT A VENDOR OF METRO) AND I AM NOT AWARE OF APTIM  
CONSIDERING ENTERING INTO A CONTRACT WITH METRO

4 Description of the nature and extent of each employment or other business relationship and each family relationship with vendor named in item 3.

OFFICER'S FIRM IS A SUB-CONTRACTOR TO APTIM ON POST-HARVEY RECOVERY PROJECTS FOR ANOTHER GOVERNMENTAL ENTITY. NOTWITHSTANDING, I AM FILING THIS FORM TO DISCLOSE THIS RELATIONSHIP OUT OF AN ABUNDANCE OF CAUTION.

5 List gifts accepted by the local government officer and any family member, if aggregate value of the gifts accepted from vendor named in item 3 exceeds \$100 during the 12-month period described by Section 176.003(a)(2)(B).

Date Gift Accepted N/A Description of Gift NONE

Date Gift Accepted \_\_\_\_\_ Description of Gift \_\_\_\_\_

Date Gift Accepted \_\_\_\_\_ Description of Gift \_\_\_\_\_

(attach additional forms as necessary)

6 AFFIDAVIT

I swear under penalty of perjury that the above statement is true and correct. I acknowledge that the disclosure applies to each family member (as defined by Section 176.001(2), Local Government Code) of this local government officer. I also acknowledge that this statement covers the 12-month period described by Section 176.003(a)(2)(B), Local Government Code.



AFFIX NOTARY STAMP / SEAL ABOVE

*[Signature]*  
Signature of Local Government Officer

Sworn to and subscribed before me, by the said SANJAY RAMABHADRAN, this the 29 TH day of MARCH, 20 18, to certify which, witness my hand and seal of office.

*[Signature]*  
Signature of officer administering oath

HALLIE SADBERRY  
Printed name of officer administering oath

NOTARY PUBLIC  
Title of officer administering oath